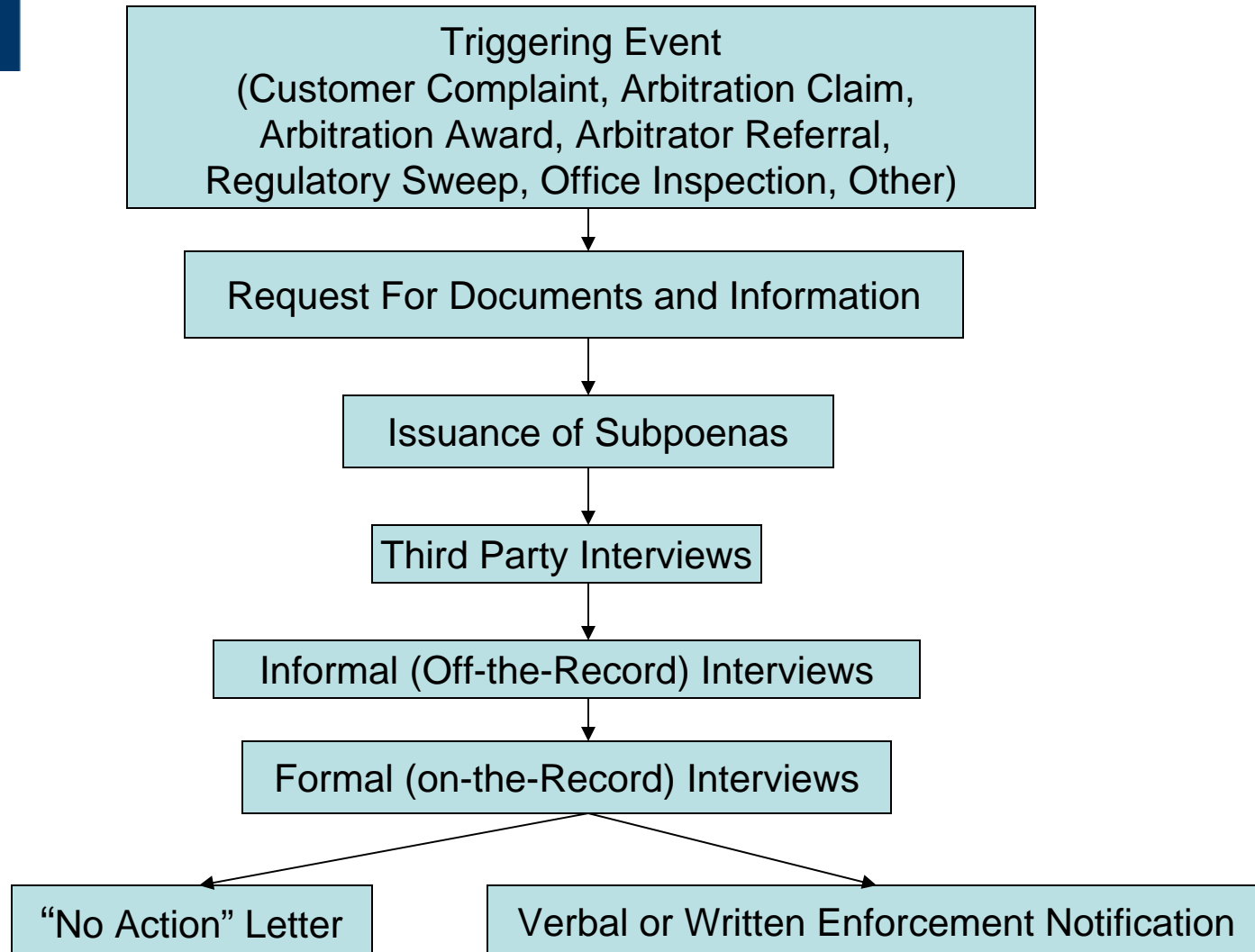




NASD Enforcement Action Timeline

Investigation Stages





NASD Enforcement Action Timeline

Enforcement Stages

